



# UNIVERSITY OF LUCKNOW LUCKNOW

## Doctor of Philosophy (Ph.D.) Admissions 2016

### 4 PROCEDURE FOR ADMISSION

4.1 The procedure for admission to Ph.D. programme in different departments/ institutes would be overall governed by the UGC regulation 2016. There would be two streams of applicants, namely (i) Those coming through a Qualifying Entrance Test (**QET**) and (ii) those Exempted from QET. All such candidates would be pooled together and would undergo interview process. The final selection of both the categories of candidates in the Ph.D. programme would be based on the performance of candidates in the interview board only, as per provisions of clause 4.3.2, and the decision of the interview board would be final.

4.2 Ph.D. programme through distance education mode is not allowed either by the University or its Associated college/ Institutes.

### 4.3 Stream I: Procedure For Entry Through QET

4.3.1 This category of applicant has to appear in a QET organized by the concerned department/ Institute/Coordinator appointed by the Vice Chancellor, under the overall supervision of the University.

4.3.2 The applicants who have qualified the QET would be required to appear for a process conducted by the Department/ Institute concerned before an interview board, approved by the Vice Chancellor with Head of the Department being the Chairperson. The Interview board would consist of (i) Head of the Department, (ii) Two Professors (by seniority and rotation every year) (iii) two external subject experts nominated by the Vice Chancellor (iv) one representative of SC/ST and OBC category each (in case, the panel does not already have an expert of the category). Such SC/ST and OBC representative shall act as observers only (and shall not award any marks to the applicants in the interview board) and shall submit their reports to the Vice Chancellor.

4.3.2.1 The interview process shall consist of assessing the candidates on the basis of the following three criteria (stages):

(i) A research proposal (in 400-600 words) in the area of research interest of the applicants to be submitted by the applicant to the interview board.

(ii) A power point presentation of the said research proposal by the applicant in the interview board

(iii) A personal interview

Maximum marks allocated to these three criteria (stages) of interview process shall contribute to 20 marks each for stages (i) (ii) and (iii) amounting to an accumulative contribution of maximum of 60 marks for this part of the process.

4.3.3 The syllabus of the QET will be the same as prescribed for the PG Programmes of the University of Lucknow. The fee for the Application Form for Ph.D. admissions, prescribed for different categories, would be determined by the University.

4.3.4 The QET would be of 90 minutes duration. There would be a single question paper of 100 marks having 100 multiple choice questions for assessing the subject proficiency and research aptitude of the candidate. The QET shall have the minimum qualifying marks as 50%.



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- 4.3.5** The question paper may ordinarily be prepared by the Department/ Institute concerned through its Head of the Department/Director or their nominee.
- 4.3.6** The applicants appearing for the QET would be required to pass the examination to be eligible for consideration for admission across all the categories. Twice the number applicants, in order of merit secured in the Entrance test, would be called for interview as per the available number of Ph.D. Vacancies subject-wise subject to fulfilling the criteria of minimum 50 % marks obtained in QET (Clause 4.3.4). This eligibility pertains to the level of being called for the interview only and may not guarantee admission.
- 4.4 Stream II: Procedure For Entry with exemption from the Qualifying Entrance Test (QET)**
- 4.4.1** This stream of applicants will come through having exemption from the Qualifying Entrance Test as per provisions made under clause 5 of this ordinance and would directly appear to the interview board having composition and procedure as detailed under clause 4.3.2 of this ordinance.
- 4.5 Final Selection and List Preparation**
- 4.5.1** The marks obtained in the interview board (maximum 60 marks) as detailed under clause 4.3.2 of this ordinance shall be added to the academic index (maximum 40 marks) of the applicants. The total result will be computed out of 100 marks.
- 4.6 Academic Index**
- Academic index of the applicants shall be calculated on the basis of percentage of marks obtained at undergraduate and Postgraduate levels and those who have secured grants for research such as fellowship/ scholarship/associateship etc. from UGC (NET-JRF)/ CSIR(NET-JRF)/GATE/MHRD Scholarship/Teacher Fellowship/ DBT / ICMR / ICAR / DST-INSPIRE etc. (as per clause 5.4) and shall be computed out of an accumulative index of 40% as per breakup given below:
- 4.6.1** This shall be computed for marks obtained by the applicants at each of undergraduate and post graduate levels (maximum 10 marks at each level amounting to an accumulative index of 20)
- |   |          |
|---|----------|
| (a) Percentage of Marks 75 % and above:       | 10 marks |
| (b) Percentage of Marks 60% to less than 75%: | 8 marks  |
| (c) Percentage of Marks less than 60%:        | 5 marks  |
- 4.6.2** Applicants having fellowship/ scholarship/associateship etc. from UGC (NET-JRF)/ CSIR (NET-JRF)/ Teacher Fellowship/ DBT / ICMR / ICAR / DST-INSPIRE etc. (as per clause 5.4) shall be awarded 20 marks.
- 4.7** The Interview Board would prepare category wise merit list (based on the performance in the interview [steps i, ii and iii as detailed under clause 4.3.2 of this ordinance] plus contribution towards academic index (as detailed in clause 4.6 of this ordinance) of pooled applicants of both the streams). The final selection list would be displayed on the University's website as well as on the departmental/ Institute's Notice Board within Two days of the last day of Interview.



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**5 EXEMPTIONS FROM THE QUALIFYING ENTRANCE TEST**

- 5.1** The second stream of students belonging to following categories of candidates shall be exempted from the Qualifying Entrance Test for admission to Ph.D. programme but would be interviewed by the interview board as mentioned in para 6.1
- 5.2** Permanent Assistant Professors, Associate Professors and Professors having appointment on substantive posts in the Teaching departments of the University of Lucknow or a government aided college associated to the University who have completed their probation successfully with an experience of at least Two years of uninterrupted service would be considered under this category. Teachers appointed in self finance courses and teachers belonging to those colleges which run on Self finance basis will not be exempted from the test.
- 5.3** Foreign students sponsored by the concerned embassy and having appropriate fellowship will be exempted from test, subject to the general rules framed by the Government of India from time to time and as applicable to the University with regard to the admission etc.
- 5.4** Candidates who have secured grants for research such as fellowship/ scholarship/ associateship etc. from UGC (NET-JRF)/ CSIR(NET-JRF)/ GATE-MHRD Scholarship/ Teacher Fellowship/ DBT / ICMR / ICAR / DST-INSPIRE / SLET etc. or those who have been selected in government agency sponsored projects through open selection procedure after due advertisement and have research experience for a minimum period of Two years duration and have at least one publication in refereed impacted journal.
- 5.5** Candidates who have successfully completed their M.Phil. from the University of Lucknow only and were earlier admitted through National level test for their M.Phil. programme.
- 5.6** A permanent employee of any government department/ public sector undertaking/ R & D organization/Other University/ private industry who is sponsored as a full-time candidate by the said organization for research work with at least 5 years of service and who is relieved on study leave for a period of not less than three years for pursuing Ph.D. programme in a Department/ Institute of the University. Number of such sponsored candidates admitted per year would ordinarily be not more than one per programme.

**6 FINAL SELECTION**

- 6.1** The final selection of candidates in the Ph.D. programme would be based on the performance of all the candidates (coming through QET or QET exempted category of candidates) in the interview board and academic index and the decision of the Interview board would be final. This result would be based on the marks obtained in the interview and academic index as detailed in clause 4.3.2 and 4.6.